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DOCKET NO: C1041.70016US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bauer et al.  
Serial No: 09/954,987  
Confirmation No: 7680  
Filed: September 17, 2001  
For: PROCESS FOR HIGH THROUGHPUT SCREENING OF  
CPG-BASED IMMUNOAGONIST/ANTAGONIST  
Examiner: Carolyn Smith  
Art Unit: 1631

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 9<sup>th</sup> day of December, 2003.

Alan W. Steele, M.D., Ph.D., Reg. No. 45,128

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- ☒ Supplemental Information Disclosure Statement Under 37 C.F.R. §1.56, 1.97 & 1.98
- ☒ Form PTO-1449 with References Cited
- ☒ Check in the amount of \$180.00
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

The Commissioner is hereby authorized to charge any deficit or credit any overpayment to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,  
*Bauer et al., Applicant*

By:

Alan W. Steele, M.D., Ph.D., Reg. No. 45,128  
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Docket No. C1041.70016US00  
Date: December 9, 2003



DOCKET NO: C1041.70016US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

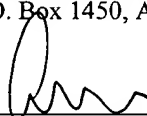
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\_\_\_\_\_  
Alan W. Steele, M.D., Ph.D., Reg. No. 45,128

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 C.F.R. §§ 1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in this application.

The fee as set forth in 37 C.F.R. § 1.17(p) is enclosed.

12/12/2003 HGBREH1 00000048 09954987

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PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The applicant would like to bring to the Examiner's attention the following co-pending applications (copies enclosed) that may contain subject matter related to this application:

Docket No.	Serial No.	Filing Date	Inventor
C1039.70062US00	10/187,489	07-02-2002	Krieg et al.
C1039.70069US00	10/314,578	12-09-2002	Krieg et al.
C1041.70035US00	10/373,381	02-25-2003	Wagner et al.
C1039.70070US00	10/382,822	03-06-2003	Krieg et al.
C1041.70037US00	10/407,952	04-04-2003	Lipford et al.
C1039.70072US00	10/434,696	05-09-2003	Davis et al.
C1039.70071US00	10/435,656	05-09-2003	Krieg et al.
C1039.70075US00	10/613,916	07-03-2003	Krieg et al.
C1039.70077US00	10/619,279	07-14-2003	Krieg
C1039.70078US00	10/627,331	07-25-2003	Krieg et al.
C1039.70079US00	10/627,413	07-25-2003	Krieg et al.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

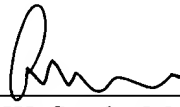
By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

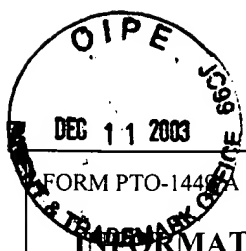
Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,  
Bauer et al., *Applicant*

By:   
Alan W. Steele, M.D., Ph.D., Reg. No. 45,128  
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Docket No. C1041.70016US00  
Date: December 9, 2003  
**XNDDX**



FORM PTO-1449A and B (Modified)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

APPLICATION NO.: 09/954,987

ATTY. DOCKET NO.: C1041.70016US00

FILING DATE: September 17, 2001

CONFIRMATION NO.: 7680

APPLICANT: Bauer et al.

GROUP ART UNIT: 1631

EXAMINER: Carolyn Smith

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
	A11	2003/0100527	A1	Krieg et al.	05-29-2003
	A12	2003/0139364	A1	Krieg et al.	07-24-2003
	A13	2003/0055014	A1	Bratzler	03-20-2002
	A14	2003/0181406	A1	Schetter et al.	09-25-2003
	A15	2003/0050268	A1	Krieg et al.	03-13-2003
	A16	2003/0091599	A1	Davis et al.	05-15-2003
	A17	2003/0148976	A1	Krieg et al.	08-07-2003
	A18	2003/0191079	A1	Krieg et al.	10-09-2003
	A19	2003/0104523	A1	Lipford et al.	06-05-2003
	A20	2003/0148316	A1	Lipford et al.	08-07-2003
	A21	2002/0164341	A1	Davis et al.	11-07-2002
	A22	2002/0165178	A1	Schetter et al.	11-07-2002
	A23	2003/0166001	A1	Lipford	09-04-2003

**FOREIGN PATENT DOCUMENTS**

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
	B25	WO	02/069369	A2		09-06-2002	
	B26	WO	03/035695	A2	Tanox	01-05-2003	

EXAMINER

DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]